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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/539,049	03/31/2006	Frank Slade Abbott	U0080645/TWB	7391

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OYEN, WIGGS, GREEN & MUTALA LLP
480 - THE STATION
601 WEST CORDOVA STREET
VANCOUVER, BC V6B 1G1
CANADA

EXAMINER

LAO, MARIALOUISA

ART UNIT	PAPER NUMBER
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1621

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
31 DAYS	04/23/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary

Application No.

10/539,049

Applicant(s)

ABBOTT ET AL.

Examiner

MLouisa Lao, Ph.D.

Art Unit

1621

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-31 and 35-44 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☐ Claim(s) ____ is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☒ Claim(s) 1-31 and 35-44 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. ____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date ____.
- ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____.
- ☐ Notice of Informal Patent Application
- ☐ Other: ____.

Art Unit: 1621

DETAILED ACTION

Lack of Unity

1. Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions, which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I, claim(s) (1-27), (31, 43) and (35-38, 44), drawn to a compound selected from the group consisting of compounds represented, *inter alia*, by the formula (I), formula (II), formula (III) and formula (IV); and the pharmaceutical composition of said compounds and the prodrugs of said compounds.

Group II, claim(s) (28-30 and 42) and (39-40), drawn to method of using compounds represented, *inter alia*, by the formula (I), formula (II), formula (III) and formula (IV); and the pharmaceutical composition of said compounds and the prodrugs of said compounds.

Group III, claim(s) 41, drawn to method of synthesizing an analogue of valproic acid.

2. The inventions listed as Groups I, II and III do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the analogues of valproic acid, are known, illustratively in the IDS a reference cited by Tang, W. et al., *Fluorinated analogues as mechanistic probes in valproic acid (VPA) hepatotoxic ty: Hepatic microvesicular*, Chem. Res. Toxicol., 8, 671-682 (1995) discloses the VA analogue, 2, 2- difluoro-4-pentenoic acid, its method of use and method of synthesis.

Restriction is required under 35 U.S.C. § 121 and § 372.

Claims 1—31 and 35-44 are drawn to more than one inventive concept (as defined by PCT Rule 13), and accordingly, a restriction is required according to the provision of PCT Rule 13.2.

PCT Rule 13.2 states that the international application shall relate to one invention only or to a group of inventions so linked as to form a single general inventive concept (requirement of unity of invention).

Art Unit: 1621

PCT Rule 13.2 states unity of invention referred to in Rule 13.1 shall be fulfilled only when there is a technical relationship among those inventions involving one or more of the same or corresponding special technical features.

Annex B, Part 1 (b), provides that "special technical features" mean those technical features, which, as a whole, define a contribution over the prior art.

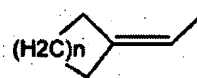
Annex B, Part 1 (e), provides combinations of different categories of claims and states:

"The method for determining unity of invention under Rule 13 shall be construed as permitting, in particular, the inclusion of any one of the following combinations of claims of different categories in the same international application:

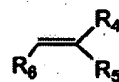
- (i) in addition to an independent claim for a given product, an independent claims for a process specially adapted for the manufacture of the said product, and an independent claim for use of the said product, or
- (ii) in addition to an independent claim for a given process, an independent claim for an apparatus or means specially designed for carrying out the said process, or
- (iii) in addition to an independent claim for a given product, and independent claim for a process specially adapted for the manufacture of the said product, and an independent claim for an apparatus or means specially designed for carrying out the said process "

This application contains the following inventions or groups of inventions that are not so linked as to form a single general inventive concept under PCT Rule 13.1. Further due to numerous and widely divergent variables in the substituents of Formula I, for example: R1, R2, R3, the methods of making and the methods of use present, *a precise listing of inventive sub-groups cannot be made.*

The following sub-groups are exemplary:



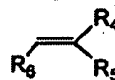
Group Ia: when R1 is not present, R3=X(COOH)H and R3 =  where n=1-10



Group Ib: when R1 is not present, R3=X(COOH) and R2 =  and R4, R5, R6=H

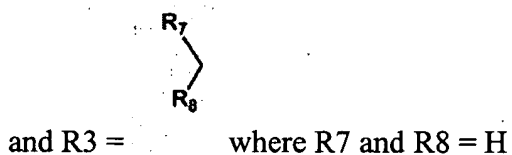
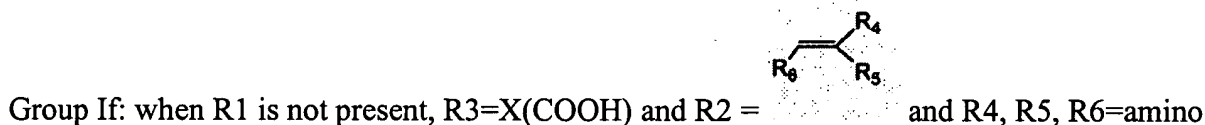
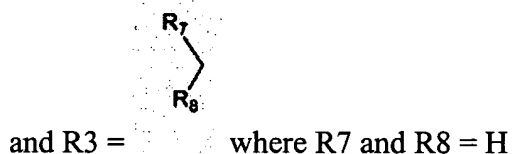
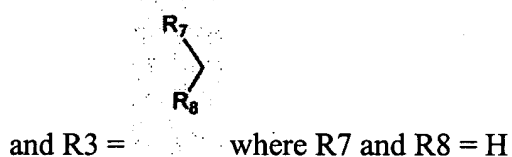
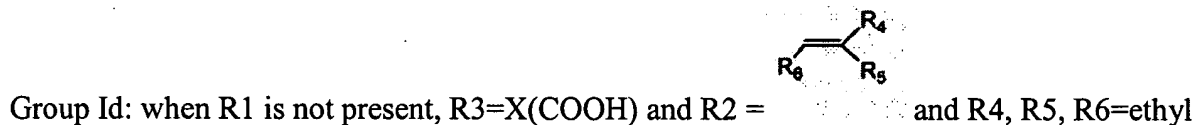
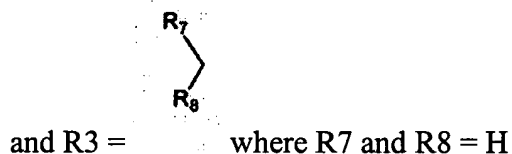


and R3 =  where R7 and R8 = H



Group Ic: when R1 is not present, R3=X(COOH) and R2 =  and R4, R5, R6=methyl

Art Unit: 1621



In accordance with 37 CFR § 1.499, applicant is required, in reply to this action, to elect a single disclosed species (and/or sub-group) to which the claims must be restricted. Again, this is ***not an exhaustive list***, as it would be impossible to produce such a list under the time constraints due to the large volume of subject matter instantly claimed. Therefore, applicant may choose to elect a single disclosed species (and/or sub-group) in conjunction with the elected invention (a product

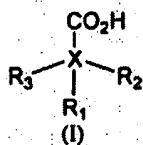
Art Unit: 1621

and a method of use) by identifying another specific embodiment, i.e. a value for R1, R2, R3 etc. not listed in the exemplary groups of the invention and Examiner will endeavor to group the same.

The claims herein lack unity of invention under PCT Rules 13.1 and 13.2 because, pursuant to 37 C.F.R. 1.475(a) Group I - Group IX lack unity of invention since, under 37 CFR 1.475:

Where a group of inventions is claimed in an application, the requirement of unity of invention shall be fulfilled only when there is a technical feature among those inventions involving one or more of the same or corresponding special technical features...those technical features that define a contribution which each of the claimed inventions, considered as a whole, makes over the prior art.

The structural moiety common to Group Ia-Group If is lacking as pointed out in the structural diagram below.



The variables R1, R2 and R3 affect the structural core such that the core represents a plethora of compounds; which are patentably distinct and require separate search considerations.

Therefore, Claims 1—31 and 35-44 are not so linked as to form a single general inventive concept and there is a lack of unity of invention. The variables (R1, R2 and R3) vary extensively and when taken as a whole result in vastly different compounds. Additionally, the vastness of the claimed subject matter and the complications in understanding the claimed subject matter impose a serious burden on the search and examination of the claimed subject matter. Illustratively, a search on the compounds of formula I, wherein R1, R2 and R3 can be the various substituents, would mean numerous permutations.

Therefore, since the claims do not relate to a single general inventive concept under PCT Rule] 3.1 and lack the same or corresponding special technical features, the claims lack unity of invention and should be limited to a product and a method of use.

Furthermore, with respect to Group I-III, even if unity of invention under 37 CFR 1.475(a) is not lacking, under 37 CFR 1.475(b) a national stage application containing claims to different categories of invention will be considered to have unity of invention if the claims are drawn only to one of the following combinations:

- A product and a process specially adapted for the manufacture of said product; or
- A product and process of use of said product; or
- A product, a process specially adapted for the manufacture of the said product, and a use of the said product; or
- A process and an apparatus or means specially designed for carrying out the said process; or
- A product, a process specially adapted for the manufacture of the said product, and an apparatus or means specially designed for carrying out the said process.

Moreover, according to 37 CFR 1.475(c),

Art Unit: 1621

If an application contains claims to more or less than one of the combinations of categories of invention set forth in paragraph (b), unity of invention might not be present.

In the instant case the claims are drawn to more than a product and a method of use, and according to 37 CFR 1.475(e),

The determination whether a group of inventions is so linked as to form a single general inventive concept shall be made without regard to whether the inventions are claimed in separate claims or as alternatives within a single claim.

As a result, since the variables R1, R2 and R3 represent the substituents, which result in a plethora of compounds and products defined by such Group I and sub-groups thereto, which are distinct inventions and require different searches, examinations and classifications. Therefore, the claims lack unity of invention and applicant is required to elect a single invention.

3. A telephone call was not made to applicants' agent to request an oral election to the above restriction requirement, due to the complexity of the art. MPEP § 812.01

Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species or invention to be examined even though the requirement be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention or species may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Should applicant traverse on the ground that the inventions or species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions or species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C.103(a) of the other invention.


Art Unit: 1621

4. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Correspondence

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MLouisa Lao, Ph.D. whose telephone number is 571-272-9930. The examiner can normally be reached on Mondays to Fridays from 8:30am to 5:00pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thurman Page, can be reached on 571-272-0602. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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MLouisa Lao, Ph.D.
Examiner
Art Unit 1621


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